

## Translation

### Notification of the Department of Business Development Re: Prescription of Additional Entries in the Shareholder Register and Copy of Limited Company Shareholder Register B.E. 2557 (2014)

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Whereas section 1138 of the Civil and Commercial Code provides that a limited company must have a shareholder register with entries pertaining to bearer shares in (4) which must specify the share certificate number and entry date on the share certificate, and (5) the crossing out date of the bearer share certificate.

In the interest of preventing money laundering by a juristic person and combatting financing of terrorism, by virtue of article 54 of the Ministerial Regulation on Customer Due Diligence B.E. 2556 (2013), issued by virtue of section 16(1) and (9) and section 20/1 of the Anti-Money Laundering Act B.E. 2542 (1999), the Department of Business Development, as supervisory authority for business operators incorporated as limited companies, by the Director-General of the Department of Business Development, hereby issues the following Notification:

**Article 1.** This Notification is called “Notification of the Department of Business Development Re: Prescription of Additional Entries in the Shareholder Register and Copy of Limited Company Shareholder Register B.E. 2557 (2014).”

**Article 2.** A limited company which issues bearer shares shall have the following additional entries in the register:

- (a) owner of bearer share, or
- (b) beneficiary of bearer share.

**Article 3.** When a director submits a copy of the list of existing shareholders at the time of a meeting and list of those who are no longer shareholders since the previous general meeting to the Registrar within the period prescribed by law, the entries under article 2 shall also be included, as the case may be. The submission shall be made in accordance with the form annexed to this Notification.

Given on the 30<sup>th</sup> September B.E. 2557 (2014)

Phongphan Jeanwiryapan

Director-General of the Department of Business Development

Form for Filing Notice of Owner or Beneficiary of Bearer Shares Pursuant to the  
Notification of the Department of Business Development  
Re: Prescription of Additional Entries in Shareholder Register and Copy of Limited  
Company Shareholder Register B.E. 2557 (2014)  
Submitted to the Partnerships and Companies Registrar  
of  
..... Company Limited  
Registration Number .....

1. Name of owner or beneficiary of bearer share:  
Mr./Mrs./Miss ..... Age ..... Years  
National Identification/Passport Number .....
2. Number of shares held ..... shares.
3. Share numbers  
.....

I/We hereby certify the above entry to be true and accurate according to  
the shareholder register.

(signed) ..... Director

(Seal (if any))

(signed) ..... Director

**Note:** The registered authorized director(s) shall be the signor(s).